

**FLEHR
HOHBACH
TEST
ALBRITTON
& HERBERT
LLP**



RECEIPT #2
April 13, 1999

Intellectual Property Law

RECEIVED

APR 27 1999

Group 3700

4 Embarcadero Center
Suite 3400
San Francisco, CA
94111-4187

Phone (415) 781-1989
Fax (415) 398-3249
<http://www.flehr.com>

850 Hansen Way
Suite 200
Palo Alto, CA 94304-1017
Phone (650) 494-8700
Fax (650) 494-8771

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Application Processing
Division's Customer Correction Branch

Re: Karakasoglu et al., U.S. Patent Application No. 09/169,780 for:
*Multi-Channel Self-Contained Apparatus and Method for Diagnosis of
Sleep Disorders*
Attorney Docket No. A-65929/HCH

Dear Sir/Madam:

Enclosed herewith is the original filing receipt for the above-captioned application. It is noted that the last name of the first-named inventor was misspelled, as reflected on the executed Declaration for Patent Application (copy enclosed).

Under "Applicant(s)", please delete "KARAKASOQLU" and insert --KARAKASOGLU--. We respectfully request that this be corrected as indicated in red on the enclosed original filing receipt.

Very truly yours,

FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP

Harold C. Hohbach, Reg. No. 17,757

HCH:cdg 131228
Enclosures

Harold C. Hohbach
Aldo J. Test
Thomas O. Herbert
Donald N. MacIntosh
Edward S. Wright
David J. Brezner
Richard E. Backus
James A. Sheridan
Robert B. Chickering
Richard F. Trecartin
Steven F. Caserza
Laura L. Kulhanjian
Edward N. Bachand
R. Michael Ananian
Robin M. Silva
David C. Ashby
Michael A. Kaufman
Stephen M. Knauer
Karen S. Smith
Janet E. Muller
Douglas J. Crisman
Mark T. Kresnak
David P. Campbell
Maria S. Swiatek
Dolly A. Vance
Lisa Marie Schull
Todd A. Lorenz
Joseph Lutz
Steven M. Freeland

OF COUNSEL
Julian Caplan
Walter H. Dreger
Jerry G. Wright

Paul D. Flehr
(1898-1992)
Elmer S. Albritton
(1922-1988)

CERTIFICATE OF MAILING (37 CFR 1.8(a)(1)(i)(A))

I hereby certify that this paper along with any referred to as being attached or enclosed is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on April 13, 1999.

Signed:
Claudia Galik

FILING RECEIPT

APR 16 1999



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/169,780	10/08/98	3737	\$417.00	A-65929/HCH	2	22	2

FLEHR HOHBACH TEST
ALBRITTON & HERBERT
SUITE 3400 FOUR EMBARCADERO CENTER
SAN FRANCISCO CA 94111

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the filing receipt with the changes noted thereon.

Applicant(s)

AHMET KARAKASOGLU, SAN FRANCISCO, CA; KARL S. JOHNSON,
PALO ALTO, CA; JOHN SCOTT ADAMS, LOS GATOS, CA.

FOREIGN FILING LICENSE GRANTED 10/27/98

* SMALL ENTITY *

TITLE

MULTI-CHANNEL SELF-CONTAINED APPARATUS AND METHOD FOR DIAGNOSIS OF
SLEEP DISORDERS

PRELIMINARY CLASS: 600

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.62 which meets the provisions of 37 CFR 5.15(a). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

3529 SICW 181 DEC 06



DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled MULTI-CHANNEL SELF-CONTAINED APPARATUS AND METHOD FOR DIAGNOSIS OF SLEEP DISORDERS, the specification of which

(check
one)

☒

is attached hereto.

☐

was filed on _____ as
Application Serial No. _____
and was amended on _____.

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)

Direct all telephone calls to Harold C. Hohbach at (650) 494-8700.

Address all correspondence to:

FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP
Suite 3400, Four Embarcadero Center
San Francisco, California 94111-4187

File No. A-65929/HCH

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or

first inventor:

Ahmet Karakasoglu

Inventor's signature:

A. Karakasoglu
9/23/98

Date:

Residence:

San Francisco, California

Citizenship:

Turkey

Post Office Address:

1507 28th Avenue

San Francisco, California 94122

Full name of second

joint inventor

Karl S. Johnson

Inventor's signature:

Karl S. Johnson
9/24/98

Date:

Residence:

Palo Alto, California

Citizenship:

United States

Post Office Address:

544 Tennyson Avenue

Palo Alto, California 94301

Full name of third

joint inventor

John Scott Adams

Inventor's signature:

John Scott Adams
9-25-98

Date:

Residence:

Los Gatos, California

Citizenship:

United States

Post Office Address:

20 Glenridge Ave.

Los Gatos California 95030